



#plymaudit



Democratic Support

Plymouth City Council
Civic Centre
Plymouth PL1 2AA

Please ask for Helen Rickman,
Democratic Support Officer
T 01752 398444
E helen.rickman@plymouth.gov.uk
www.plymouth.gov.uk/democracy
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AUDIT COMMITTEE

Thursday 13 March 2014
2pm
Warspite Room, Council House

Members:

Councillor Wheeler, Chair
Councillor Dr. Mahony, Vice Chair
Councillors Murphy, Stark and Stevens.

Independent Members:

Ros Badger, Mr Clarke and Mr Stewart.

Members are invited to attend the above meeting to consider the items of business overleaf.

Tracey Lee

Chief Executive

AUDIT COMMITTEE

AGENDA

PART I – PUBLIC MEETING

1. APOLOGIES

To receive apologies for non-attendance submitted by Committee Members.

2. DECLARATIONS OF INTEREST

Members will be asked to make any declarations of interest in respect of items on this Agenda.

3. MINUTES

(Pages 1 - 4)

To confirm the minutes of the meeting held on 23 January 2014.

4. CHAIR'S URGENT BUSINESS

To receive reports on business which, in the opinion of the Chair, should be brought forward for urgent consideration.

5. AUDIT COMMITTEE UPDATE

(Pages 5 - 30)

The Council's External Auditor, Grant Thornton, will provide the Committee with the Audit Committee update report.

6. FINANCIAL REPORTING ISSUES

(Pages 31 - 34)

The Council's External Auditor, Grant Thornton, will provide the Committee with an update on Financial Reporting Issues.

7. FRAUD BRIEFING 2013

(Pages 35 - 48)

The Council's External Auditor, Grant Thornton, will provide the Committee with the Fraud Briefing 2013.

8. STRATEGIC RISK AND OPPORTUNITY MANAGEMENT REGISTER MONITORING REPORT

(Pages 49 - 64)

The Committee will be provided with the Strategic Risk and Opportunity Management Register Monitoring Report.

9. INTERNAL AUDIT ANNUAL PLAN (Pages 65 - 84)

The Committee will be provided with the Internal Audit Annual Plan.

10. UPDATE ON CIVICA SYSTEM (Pages 85 - 86)

The Committee will be provided with an update on the Civica System as requested at 12 December 2013 Audit Committee meeting.

11. UPDATE ON DATA SYSTEM AT WINDSOR HOUSE (Pages 87 - 90)

The Committee will be provided with an update on the Data System at Windsor House as requested at 12 December 2013 Audit Committee.

12. GOVERNANCE ARRANGEMENTS FOR TRANSFORMATION PROGRAMME (Pages 91 - 94)

The Committee will be provided with a briefing paper on the governance arrangements for the Council's Transformation Programme.

13. RIPA SURVEILLANCE REPORT (Pages 95 - 108)

The Committee will be provided with the RIPA Surveillance report.

14. REVIEW OF ROLLING WORKPLAN (Pages 109 - 114)

The Committee will review the rolling workplan for 2013/14.

15. EXEMPT BUSINESS

To consider passing a resolution under Section 100A(4) of the Local Government Act 1972 to exclude the press and public from the meeting for the following item(s) of business on the grounds that it (they) involve the likely disclosure of exempt information as defined in paragraph of Part I of Schedule 12A of the Act, as amended by the Freedom of Information Act 2000.

PART II (PRIVATE MEETING)

AGENDA

MEMBERS OF THE PUBLIC TO NOTE

that under the law, the Panel is entitled to consider certain items in private. Members of the public will be asked to leave the meeting when such items are discussed.

NIL.